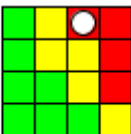




SUR Corporate and departmental risks - detailed report EXCLUDING COMPLETED ACTIONS

Report Author: Faith Bowman



Rows are sorted by Risk Score

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
SUR SMT 006 City Bridges: - Wanton Damage / Terrorism 19-Jun-2017 Paul Wilkinson	Cause: Wanton Damage / Terrorism Event: Structural damage to bridge/s Impact: Instability in bridge structure leading to possible collapse, reputational damage, disruption to traffic, additional costs to repair / replace	 Likelihood Impact	16	CSD is working with internal partners, particularly DBE, to improve ongoing management and communication of actions associated with this risk. This will include: - Inspections - Maintenance reports - Areas of concern 26 Mar 2018	 Likelihood Impact	12	31-Mar-2019	 Constant

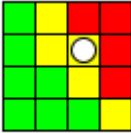
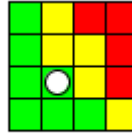

Action no	Description	Latest Note	Managed By	Latest Note Date	Due Date
SUR SMT 006a	Counter Terrorism	There is Police presence, but the bridges are located outside the security cordon. Tower Bridge has cameras and security requirements for visitors Temporary mitigation by the Metropolitan Police is in place, but this is not full Hostile Vehicle	Nicholas Gill; Ian Hughes; Paul Monaghan	14-Mar-2018	31-Mar-2019

		Mitigation -discussions are on-going with various authorities to identify next steps			
SUR SMT 006b	Traffic Management	Paul Monaghan has spoken to TFL in connection with traffic management contingency plans. TFL have a sequence of plans in place depending on the situation which arises. Paul is an on-going member of the River Crossings Liaison Group and can update CSD at the quarterly meetings as appropriate.	Nicholas Gill; Paul Monaghan; Peter Young	01-Mar-2018	31-Mar-2019

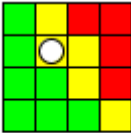
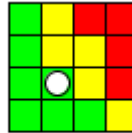

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Action no	Description	Latest Note	Managed By	Latest Note Date	Due Date
SUR SMT 002a	Senior Management Team regularly review budgets.	This includes monies allocated under the Cyclical Works Programme and those from business rates. These items will help address a portion of the ‘bow wave’. Meetings are held regularly to review programmes and bring forward works where required. The team also identifies any shortfalls with the view of making recommendations to Members on a case-by-case basis.	Peter Collinson; Peter Young	21-Mar-2018	31-Mar-2019
SUR SMT 002d	Operational Property Review	Deliver the Operational Property Review in order to ensure efficient and effective property management. Progress releasing assets has been slower than desired. Next steps include challenge sessions between the City Surveyor and Chief Officers as agreed by CASC. These will be undertaken between November and October 2018 as part of the business planning cycle.	Peter Young	14-Dec-2017	31-Mar-2019

SUR SMT 002e	Asset management plan	Continue the development of new Asset Management Plans for key properties / sites.	Peter Young	21-Mar-2018	31-Mar-2019
SUR SMT 002f	Guildhall Events Financial Statement	Guildhall Events Financial Statement is now in place. This will be presented to Remembrancer's Management Team, Cross-cutting Officer Events Group and then onto Members - Hospitality Working Party twice a year (Jun and Oct/Nov). This report will include a consideration of running costs and deferred work	John James	21-Mar-2018	31-Mar-2019
SUR SMT 002h	Guildhall Reviews	Three reviews are currently on-going and are at different stages. These include Security Review (including 67 recommendations), Cleaning Review (implementation) and the Energy Reduction Action Plan (implementation of the AECOM recommendations).	Peter Young	21-Mar-2018	31-Mar-2019
SUR SMT002g	Guildhall Programme Delivery	The Guildhall programme of future major capital projects was presented to CASC in October. This outlined the next wave of major works proposed to be taken through the Gateway process over the next three years. This will now be programmed in further detail for delivery and will be subject to the availability of funding. A further report will be taken to Committee in Q1. (2018/19)	Dorian Price	21-Mar-2018	31-Mar-2019

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
SUR SMT 005 Recruitment and retention of property professionals 17-Mar-2015 Paul Wilkinson	Cause: Uncompetitive pay structures within some professional grades Event: Increasingly attractive remuneration and reward packages offered elsewhere externally, particularly in the private sector Impact: Increased vacancies, objectives unachieved or delivered late, reduced customer satisfaction, less real estate activity, reduced employee wellbeing, demotivation of staff.	Likelihood  Impact	12	Within the CSD, it is proving challenging to recruit Chartered Surveyors, Chartered Engineers as the reward 'offer' (including pay) is lower than those being offered in the private sector at this moment in time. Recently approved flexibility in market forces supplement will be applied where appropriate. 21 Mar 2018	Likelihood  Impact	4	30-Apr-2018	 Constant

Action no	Description	Latest Note			Managed By	Latest Note Date	Due Date
SUR SMT 005a	Assessment of CoL Reward and Earnings Package	The Independent report has been presented to the City Surveyor and to HR. Key outcomes are being shared with SMT only - with a view that where gaps have been identified, recommendations are to be developed by SMT on how to close this.			Paul Wilkinson	21-Mar-2018	30-Apr-2018

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
SUR SMT 004 Inability to deliver savings required by Service Based Review 03-Mar-2015 Paul Wilkinson	Cause: Departments do not deliver the agreed Facilities Management and Asset Management actions to time and to the appropriate success criteria. Event: Recommendations arising from the Asset Management and Facilities Management reviews are not implemented in full. Impact: Saving targets not achieved and objective not met – including transformation service improvements.	 Likelihood Impact	6	2017/18 savings are on target which will be supplemented by savings from the next generation building repairs and maintenance contract (BRM2). Facilities Management review (Strategic Asset Management Programme) SBR savings will be delivered by using BRM2 efficiencies 22 Mar 2018	 Likelihood Impact	4	31-Mar-2019	 Constant

Action no	Description	Latest Note	Managed By	Latest Note Date	Due Date
SUR SMT 004b	Asset Management Service Based Review	Review commenced. Scope of review agreed by SAM Programme Board. Recruitment of replacement Asset Management Project Manager is now complete. GVA appointed and commenced workshops.	Peter Young	22-Mar-2018	30-Jun-2018
SUR SMT 004c	Reduce staffing budget	Guildhall FM Team transfer to Operations Group was completed in October 2017. Continue to progress reviews of FM services and staffing requirements. Recruitment to posts where gaps in capacity are identified.	Peter Collinson	14-Dec-2017	31-Mar-2019